

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
	:	Examiner: Christopher Brown
Srinivasan N. Rao et al.)	
	:	Group Art Unit: 2134
Application No.: 10/721,063)	
	:	Confirmation No.: 3266
Filed: November 24, 2003)	
	:	
For: Single Sign-On Authentication System)	

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

DECLARATION OF PRIOR INVENTION UNDER 37 C.F.R. §1.131

Dear Sir:

I, Srinivasan N. Rao, hereby declare that:

1) I am a co-inventor of the subject matter described and claimed in the above-identified application.

2) Prior to July 16, 2003, the effective date of U.S. Patent Publication No. 2005/0015490 (herein "Saare et al."), I and my co-inventors conceived the invention as described and claimed in the subject application in the United States of America.

3) The subject invention was conceived prior to July 16, 2003 (the effective date of the Saare et al. reference) and due diligence was used from prior to July 16, 2003 to constructively reduce it to practice by filing a provisional patent application (Provisional Patent Appl. No. 60/500,391) having a filing date of September 5, 2003. A copy of Provisional Patent Appl. No. 60/500,391 is attached hereto as Exhibit H.

4) As a co-inventor, I co-authored a Powerpoint document (filename: Domino_PA.ppt) dated February 2003 (herein referred to as the "Powerpoint document") which evidences conception of the invention as described and claimed in the subject application, prior to the effective date of Saare et al. The Powerpoint document is attached as Exhibit A.

5) As a co-inventor, I co-authored an invention disclosure document dated July 7, 2003 (filename: disclosure_form_SSO.doc) (herein referred to as the "Invention Disclosure Document") which evidences conception of the invention as described and claimed in the subject application, prior to the effective date of Saare et al. The Invention Disclosure Document is attached as Exhibit B.

6) Conception of each element of Claims 1, 8, and 15, the independent claims in the subject application, is evidenced by the disclosure and figure in the Invention Disclosure Document and Powerpoint document attached hereto as Exhibits A and B.

7) On July 7, 2003 at 10:18 AM EST, I sent an e-mail correspondence to Mr. Andrew Cadel requesting general information concerning the patent process and the submission of a new invention disclosure. Mr. Cadel, at all times relevant to the statements set forth herein, served as Vice President & Assistant General Counsel of J.P. Morgan Chase & Co. and was responsible for management of the J.P. Morgan Chase invention disclosure submission process.

8) On July 7, 2003 at 3:21 PM EST, Mr. Cadel promptly and diligently sent a response e-mail to me providing the requested information.

9) On July 7, 2003 at 4:48 PM EST, I promptly and diligently sent an e-mail correspondence including the Invention Disclosure Document and Powerpoint document to Mr. Cadel.

10) On July 8, 2003 at 5:35 PM EST, Mr. Cadel promptly and diligently sent an e-mail correspondence to Mr. Alexander Pagano, a registered patent agent and point of contact for Lowenstein Sandler P.C., the patent counsel in this matter, forwarding the communication chain identified above including the Invention Disclosure Document and the Powerpoint document.

11) On July 8, 2003 at 8:35 PM, Mr. Pagano promptly and diligently sent an internal e-mail correspondence to his assistant, Ms. Camille Payne, requesting further processing of the invention disclosure.

12) A copy of the communication chain identified in statements 7) through 11) is attached as Exhibit C.

13) On July 9, 2003 at 11:02 AM EST, Mr. Pagano promptly and diligently sent an internal e-mail communication evidencing the processing of the invention disclosure and further indicating that Mr. George Morgan, a patent attorney at Lowenstein Sandler, would be responsible for preparing the subject application. A copy of this e-mail communication is attached as Exhibit D.

14) On July 31, 2003 at 6:10 PM EST, Mr. Morgan promptly and diligently created a word document titled "Single Sign-On with Notes Domino" having document number 1426051. Mr. Morgan promptly and diligently completed the document on July 31, 2003 at 7:04 PM EST. The creation and completion dates of the document are set forth in the associated profile history, provided herewith. A copy of the document and the associated profile history are attached hereto as Exhibit E.

15) On August 4, 2003, Mr. Morgan and I exchanged a series of correspondences related to the preparation of a patent application, including a discussion of certain technical aspects of the invention. A copy of this e-mail exchange is attached as Exhibit F.

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Attorney Docket No.: 14846-32

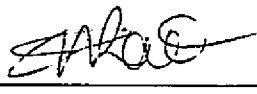
16) On August 7, 2003, Mr. Morgan promptly and diligently began drafting the provisional patent application, identified as document number 1429104. A copy of the profile history associated with document number 1429104 is attached hereto as Exhibit G. As evidenced by the profile history, Mr. Morgan created the document on August 7, 2003 and continued diligent efforts from said creation date through the entire time period up to the filing of Provisional Patent Appl. No. 60/500,391.

17) The provisional patent application was promptly and diligently filed on September 5, 2003, evidencing constructive reduction to practice of the invention. The provisional patent application is attached hereto as Exhibit H. It is noted that the footer on page 1 of the provisional application includes a reference to document number 1429104, the profile history for which is attached hereto as Exhibit G.

18) Due diligence during the time from conception of the invention and the constructive reduction to practice (i.e., the filing of the provisional application) is evidenced at least by the correspondence identified in Exhibits C - G.

19) I declare that the statements set forth in this Declaration are of my own knowledge and are true and that all statements made on information and belief are believed to be true. I further declare that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: November 5, 2007


Srinivasan N. Rao
Co-Inventor
U.S. Patent Appl. No. 10/721,063